Automatic indexing of institutional repository content using SKOS

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Index

- Lack of well-defined indexing practices is a common problem in most institutional repositories.
- Researchers typically assign keywords to submissions:
 - These terms are not extracted from a controlled vocabulary or thesaurus.
 - This leads to ambiguity and lack of specific indexing terms.
- Problem becomes clearer when aggregating content from multiple repositories.
- Approach: automatic assignment of descriptors taken from an existing thesaurus / KOS to already published contributions.
- The process is run with the help of a commercial tool, PoolParty.
- The experiment runs a process to automatically identify the "thesaurus concepts" that describe the content of the documents published in the institutional repository.

- Previous work related to collaborative, open innovation platforms.
- Innovation is a knowledge-intensive process supported by "linkages":

Services that connect the innovative organization with other entities in its context: universities, suppliers, clients, competitors, etc., and establish flows of knowledge and technology.

- OI platforms are web sites acting as "directories" of companies, people, etc., with the aim of support collaboration/innovation process keeping data about:
 - Researchers, lead users, university departments, research groups, small companies, etc.
 - Their work experience and technical achievements (patents, technical papers, product worksheets, etc.)
 - Innovation opportunities posted by different agents.

- Purposes of OI platform:
 - Identify partners in a global context.
 - Get guidance to assess ideas sent in response to "innovation challenges"
 - Providing more information about solvers, to have a higher level of confidence on the proposed solutions.
- In that context, the need of better "matching capabilities" between innovation challenges and potential partners was identified.
- Potential use of specialized terminologies/vocabularies to describe entities, areas of expertise and achievements, challenges, etc.

- Area: Biomedical engineering
 - Engineering discipline with several branches: bioinstrumentation, biomechanics, biomaterials, etc.
 - Main focus is on genetics, tissue engineering, medical software, simulators and imaging.
- The use of terminologies and controlled vocabularies help ensure the consistency of the descriptions and improve the capability to matching challenges with partners.
- MeSH was used to improve free text descriptions of agents, achievements and innovation opportunities using the *MeSH on demand* service.

Indexing of "Entities"

- Companies, lead users, researchers, research groups, etc., who can contribute to the innovation process (as challengers or solvers).
- Attach data to entities: documents, patents, product descriptions, research projects.
- Data are indexed using MeSH on Demand.
- MeSH headings were added to free text descriptions.
- The "profile/record of the entity" is enhanced using the terms coming from their achievements (papers, CVs, etc.).

Indexing of Innovation Opportunities

• These are: Challenges posted by any registered entity.

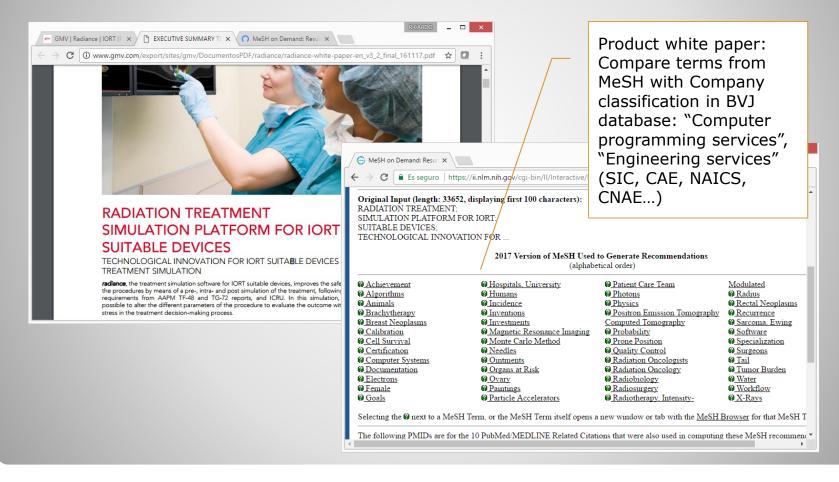
• Functions:

- Posting opportunities.
- Classify opportunities using MeSH.
- Match the opportunity with the existing entities' profiles
- Make a selective diffusion of information.

The Proposal Indexing of content with controlled terms

 Ø Javier Pescau González. ★ → C ■ UC3M (Universidad Carlos III de Madrid) [ES] https://www.uc3m.es/ss/Satellite/UC3MInstitu uc3m Universidad Carlos III de Madrid 			MeSH terms assigned to a researcher using his CV as an Entry (only titles of publications)
ABOUT UC3M STUDIES ADMISSION RESEARCH UFE ON CAMPUS JAVIER PASCAU GONZÁLEZ-GARZÓN JAVIER PASCAU GONZÁLEZ-GARZÓN Home» About UC3Mº Governing Boards and Organization» Deputy Directors and Secretary for Academic Affairs of Bachelor's Degree In Biomedical Engineering» Javier Pascau González-Garzón	() Javier Pascau González-○ ×) ⊖ MeSH on I	Demand: Resul X	RIGARDO - 🗆 🗙
Professor Javier Pascau González-Garzón Javier Pascau is Visiting Professor from the Department of Bioengineering and Aerospace Engineering at Universidad Carlos III de Nadrid since 2011. He received his degree on Teleconnunication Engineering from Universidad Politecinca de Madrid Io 1999, a Natser in Biomedical Technology and Instrumentation in 2003 and his PhD from Universidad Politecinca de Madrid Io 2006. Before joinnig UC3M he was a research fellow at the Medical Imaging Lab in Hospital Gregorio Manañon in Madrid, where he also supervised the digitat and dilogg migration project. His research lines include multimodal image quantification and registration both in	Original Input (length: 9476, displaying i Javier Pascau is Visiting Professor from the 20 @ Algorithms @ Animals @ Bioengineering @ Biomedical Engineering @ Biomedical Technology	Department of Bioengineering and A 17 Version of MeSH Used to Genera (alphabetical order Gadolinium DTPA Glucose Hypothalamic Area, Lateral Liver Magnetic Resonance Imaging	erospace Engineering te Recommendations) @ Positron Emission Tomography Contemporation @ Radiation Oncologists @ Radiation Oncology @ Radiation Oncology
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The Proposal Indexing of content with controlled terms



The Proposal Indexing of content with controlled terms

C MeSH on Demand: Resul	RICARDO 🗕 🗆 🗙	
← → C ■ Es seguro https://www.innocentive.com/ar/challenge/9933488 Cleveland Clinc: Novel Implant for the Treatment of Prolapse TAGS: Cleveland Clinic Life Sciences Ideation	Petvic Organ	Challenge: Compare tags give in the Innocentive site with terms from MeSH
STATUS: Awarded ACTIVE SOLVERS: 91 POSTED: 18 nov 2014 SOURCE: InnoCentive Pelvic organ prolapse (POP) is the descent of pelvic organs including the bladder, uterus surrounding structural supports. Recent studies reveal that women have a 12.6% risk of u	 ← MeSH on Demand: Resul × C Cleveland Clinc: Novel In × ← → C ■ Es seguro https://ii.nlm.nih.gov/cgi-bin/ll/ Original Input (length: 4824, displaying first 100 char Cleveland Clinc: Novel Implant for the Treatment of Pelv 	Interactive/MeSHonDemand.pl
over their lifetime. Operations to treat POP include native tissue procedures which use on are augmented with graft. The goal of POP surgical repair is to provide long-lasting impro function while minimizing morbidity and recurrence. However, up to 6% of women who ha repair will require reoperation at 5 years for mesh complications and approximately 10% prolapse. The development of a better implant could accomplish this goal.	TAGS: Cleveland Clinic	MeSH Used to Generate Recommendations (alphabetical order)
The Seeker is interested in a graft material the use of which will improve outcomes of POU biomechanically complementary material ideal for retaining or returning pelvic organ and bearing state. This material should also be easily implanted surgically and ideally would of tissue ingrowth had occurred. This is an Ideation Challenge with a guaranteed award for at least one submitted solution	ŵ Absorbable Implants ŵ Atrophy ŵ Atrophy ŵ Awards and Prizes ŵ Biological Science Disciplines ŵ Fellowships and Scholarships ŵ Female ŵ Pelvic Floor Discord	Ø Rectum Ø Uterus Ø Reoperation Image: Compare the second secon
		n itself opens a new window or tab with the <u>MeSH Browser</u> for that MeSH T Related Citations that were also used in computing these MeSH recommend
	order is from most to least relevant. Selecting any of the l 1: <u>19094645</u> 3: <u>21126129</u> 5: <u>24118430</u> 7	PMIDs opens a new window or tab with that related citation in PubMed's At : 12619181 9: 25656453 : 24866279 10: 22857745
	not explicitly found in the text and may not recommend h	by MTI and <u>DO NOT reflect any human review</u> . MTI may recommend MeS MeSH Terms that are in the text. This is a result of machine logic that attemp dically relevant parts of the text. These results will undoubtedly differ from .*

What happens with Open, Institutional Repositories?

- Contributions/Items typically include keywords assigned by the authors / self-archiving policites:
 - No terminology / vocabulary control at all.
 - Sometimes, librarians assign general, high-level categories to items.
- But, the benefits of controlled vocabularies are missing:
 - Users cannot be led to relevant content (more specific, generic or related).
 - Users cannot explore/browser the repository from an conceptual perspective.

What happens with Open, Institutional Repositories?

- Huge problem when integrating items from different repositories
 - Syntactic interoperability has been achieved with OAI-PMH and similar protocols.
 - We are far-away of semantic interoperability.
 - Sites like Recolecta that collect items from different repositories.
 - User provided keywords are not enough to ensure accurate indexing/retrieval of content.
 - At "aggregator sites", typical distinction between communities and collections makes no meaning
 - We can rely uniquely in the free-text abstracts and keywords provided by authors, plenty of issues.

Experiment

- Experiment was conducted with metadata / items published in the institutional repository of Universidad Carlos III de Madrid: e-Archivo.
- With the aim to assess the potential use of *automatic indexing tools* to assign descriptors coming from a recognised, widely used thesaurus.
- Tool selected was PoolParty, which provides the capability of matching textual descriptions with terms defined in SKOS thesauri.
- Experiment conducted with a subset of 6000 records.

Experiment

 Universidad Carlos III joined Open Access declaration on 12.06.2006 (see Max Planck) being the 172 university out of 648 supporting that initiative:

https://openaccess.mpg.de/319790/Signatories

- E-Archivo was created in November 2006 as part of a larger initiative with the purpose of setting up:
 - An open archive network public universities in Madrid.
 - "e-Science" web potal giving centralized, open access to content published by members of the consortia.

Experiment

- E-Archive main objetives include:
 - Integrate and preserve the intelectual production of the University.
 - Increment the visibility of the works, authors, and the University itself.
 - Increment the impact of the scientific output.
 - Give free Access to that content.
- Repository is based on DSpace.
- Voluntary, self-archiving submission of documents by authors, with the exception of:.
 - Research funded with public budget (Ley 14/2011, de la Ciencia, la Tecnología y la Innovación, art. 37).
 - Doctoral dissertations (Real Decreto 99/2011, art. 14.5).

- Survey conducted among Spanish University Libraries.
- To get knowledge on the use of controlled vocabularies on their institutional repositories.
- Contact detailes identified through CRUE (47 universities).
- Identification of metadata used to encode "keywords" and "descriptors".
- Thesauri/vocabularies in place.
- 50% using CV chose UNESCO Thesaurus.



Universidad	Nombre repositorio	Software	Elemento Dublin Core	Vocabulario controlado
1. Univ. de Alcalá de H.	e-Buah	DSpace	dc.subject.other y dc.subject.eciencia (materia)	NO
2. Univ. de Alicante	RUA	DSpace	dc.subject y dc.subject.other	NO
3. Univ. de Almería	riUAL	DSpace	dc.subject	NO
4. Univ. de Barcelona	Dipòsit Digital	DSpace	dc.subject.classification y dc.subject.other	SÍ
5. Univ. de Burgos	RIUBU	DSpace	dc.subject / dc.subject.other y dc.subject.unesco	SÍ
6. Univ. de Cádiz	RODIN	DSpace	dc.subject	NO
7. Univ. de Cantabria	UCrea	DSpace	dc.subject.other	NO
8. Univ. de Castilla La Mancha	RUIdeRA	DSpace	dc.subject	NO
9. Univ. de Córdoba	HELVIA	DSpace	dc.subject	NO
10. Univ. de Extremadura	DEHESA	DSpace	dc.subject y dc.subject.unesco	SÍ (materias UNESCO)
11. Univ. de Girona	DUGiDocs	DSpace	dc.subject	NO
12. Univ. de Granada	DIGIBUG	DSpace	dc.subject	NO
13. Univ. de Huelva	Arias Montano	DSpace	dc.subject.other y dc.subject.unesco	SÍ (materias UNESCO)
14. Univ. de las Islas Baleares	UIBrepositori	DSpace	dc.subject.other / dc.subject.classification y dc.subject.keywords	SÍ
15. Univ. de Jaén	RUJA	DSpace	dc.subject.other	NO
16. Univ. de La Coruña	RUC	DSpace	dc.subject	NO
17. Univ. de La Laguna	RIULL	DSpace	dc.subject.keyword	NO
18. Univ. de Las Palmas de Gran Canaria	ACCEDA	DSpace	dc.subject y dc.subject.other	Sí
19. Univ. de León	BULERIA	DSpace	dc.subject.other y dc.subject.unesco	SÍ
20. Univ. de Lérida	Repositori Obert UdL	DSpace	dc.subject	NO
21. Univ. de Málaga	RIUMA	DSpace	dc.subject y dc.subject.other	NO
22. Univ. de Murcia	DIGITUM	DSpace	dc.subject y dc.subject.other	SÍ (CDU)
23. Univ. de Oviedo	RUO	DSpace	dc.subject	NO
24. Univ. del País Vasco	ADDI	DSpace	dc.subject y dc.subject.categoria	NO* (listado de materias
25. Univ. de Salamanca	GREDOS	DSpace	dc.subject y dc.subject.unesco	SÍ (materias UNESCO)
26. Univ. de S. de Compostela	MINERVA	DSpace	dc.subject	NO

27. Univ. de Sevilla	idUS	DCrass	de subient	NO
		DSpace	dc.subject	
28. Univ. de Valencia	RODERIC	DSpace	dc.subject y dc.subject.unesco	SÍ (materias UNESCO)
29. Univ. de Valladolid	UVaDOC	DSpace	dc.subject.classification	NO
30. Univ. de Vigo	Investigo	DSpace	dc.subject.unesco	SÍ (materias UNESCO)
31. Univ. de Zaragoza	ZAGUAN	CDS Invenio	-	NO
32. Univ. Autónoma de Barcelona	DDD	CDS Invenio	dc.subject	NO
33. Univ. Autónoma de Madrid	Biblos e-archivo	DSpace	dc.subject.other y dc.subject.eciencia (materia)	NO* (listado de materias)
34. Univ. Carlos III de Madrid	e-archivo	DSpace	dc.subject.other y dc.subject.eciencia (materia)	NO* (listado de materias)
35. Univ. Complutense de Madrid	e-prints Complutense	E-Prints	dc.subject	NO* (listado de materias)
36. Univ. Internacional Andalucía	UNIA	DSpace	dc.subject	NO
37. Univ. Jaume I	Repositori UJI	DSpace	dc.subject	NO
38. Univ. Miguel Hernández	RediUMH	DSpace	dc.subject y dc.subject.other (materia)	SÍ (CDU)
39. Univ. Pablo de Olavide	RIO	DSpace	dc.subject	NO
40. Univ. Politécnica de Cataluña	UPCommons	DSpace	dc.subject / dc.subject.other / dc.subject.lcsh y dc.subject.lemac	Sí
41. U. Politécnica de Cartagena	UPCT	DSpace	dc.subject / dc.subject.other y dc.subject.unesco	SÍ (materias UNESCO)
42 Univ. Politécnica de Madrid	Archivo Digital UPM	E-Prints	-	NO* (listado de materias)
43. Univ. Politécnica de Valencia	RiuNet	DSpace	dc.subject y dc.subject.classification	NO
44. Univ. Pompeu Fabra	e-repositori	DSpace	dc.subject.keyword	NO
45. Univ. Pública de Navarra	Academica-e	DSpace	dc.subject	NO
46. Univ. Rey Juan Carlos I	BURJC Digital	DSpace	dc.subject y dc.subject.unesco	SÍ (materias UNESCO)
47. Univ. Rovira i Virgili	R. institucional	Fedora	-	NO

- Question: why did you select UNESCO Thesauri?
 - Relevance of dissertations
 - Already used by other libraries / repositories.
 - Simple, easy to use schema.
 - Vocabulary provides specific terms to Support "detailed indexing".
 - Support to interoperatibility.
- Universities using controlled vocabularies stated that:
 - People in charge of indexing items are Library staff (46%) or staff dedicated to the repository (27%).
 - In other cases, it was made by reviewers in charge of approval.

- Question: % of Thesaurus term being used?
 - Less than 40%
 - Significant differences between universities (área to research)
 - Most of the repositories (82%) calculates the documents per term/category.
 - Search/Query logs are not used in most of the cases.

Experimentation

- Downloaded records were processed with PoolParty tool.
- Before processing the OAI-PMH downloaded records, the UNESCO Thesaurus was incorporated to PoolParty.
- It includes 4421 "concepts" with different "linguistic representations".

Metadata & Statistics	Concepts Triples Si	PARQL	Autopopulate	Visualization	Quality Management	History			
Advanced Search	Concept Index								
Search Concepts:			Label Type:			Language:			
Search			Any Label		*	es			*
Class:			Constraint:						
Concept		•	contains		•				
Search Reset Fil									
Concept	Alternative Labels				Broader Conce	epts	# Narrower Concepts		
Abastecimiento de agua	Suministro de agua , Aprovisionamiento de agua , Distribución de agua (1 more)			Gestión de los r hídricos	<u>ecursos</u>	0	Î		
Abastecimiento de energía	Suministro de energía , Aprovisi	ionamier	nto de energía , Aporte	de energía	Política energét	ca	0	î	
Aborto					Control de la na	talidad	0	î	
Abreviatura					Sistema de escr	itura	1	î	

Experimentation

- The tool provides a "corpus management" feature to analyse / match textual descriptions with CV terms.
- For the initial set of documents (400), 224 terms from the Thesaurus were "matched", 643 for the whole set.
- Average of 4 terms per document.

CSOAI s ea5055ee-b6ca-4d6c-8fbf-456acc1bbb58			Corpus Se
fetadata & Statistics Extracted Concepts Extracted Term	ns Corpus Documents		
Corpus Analysis Summary		Corpus Summary	
0	Complete	Corpus Summary	
Last Calculation	15.06.2020 - 17:04	Stored Documents	308
Extracted Concepts	224	Overall Filesize	0.84 MB
Extracted Terms	83,738	Language	es
Concept Occurrences	1,583	Created by	ricardo_eitobrun
Term Occurrences	162,124	Created	15.06.2020 - 16:59
The quality of the suggested terms may be low. Add more documents to the corpus to improve quality of terms.	Poor	Last Modified	15.06.2020 - 17:02
		Server	Local

Experimentation

- The tool provides different views on the most-used concepts sorted by frequency and identy the items they are assigned to.
- Export capabilities gives the choice of reusing the assignment of terms to "re-index" items (DSpace massive updates capabililities, now in the process).

Extracted Concep	ots						
Preferred Label	Frequency	Relevance	Most Frequent Label	Broader Concepts	Concept Scheme		
España	130	7.67	españa	País mediterráneo, País de la OCDE, País de la CEE, Europa Occidental, Unión Europea	Tesauro de la UNESCO	۲	\otimes
Gobierno	124	7.73	gobierno		Tesauro de la UNESCO	۲	\otimes
Ensayo	74	3.08	control	Método científico	Tesauro de la UNESCO	۲	\otimes
Sistema económico	68	3.19	economía		Tesauro de la UNESCO	۲	\otimes
Universidad	58	0.38	universidad	Instituto de enseñanza superior	Tesauro de la UNESCO	۲	\otimes
Internet	58	2.89	internet	Red informática	Tesauro de la UNESCO	۲	\otimes
Comunidad	52	3.85	comunidad	Asentamiento humano	Tesauro de la UNESCO	۲	\bigcirc
Casa	38	2.78	departamento	Vivienda	Tesauro de la UNESCO	۲	\bigcirc
Robot industrial	34	4	robot	Máguina	Tesauro de la UNESCO	۲	\bigcirc
Informática	33	0.42	informática		Tesauro de la UNESCO	۲	\bigcirc
				1 2	3 4 5 6 7 8 9 1	0 Next	Last

Conclusions

• Constraints:

- Experiment was completed on a limited set of records
- Experiment conducted on metadata (full-text processing was discarded due to technical constraints, although it would be possible)
- Possibility of checking additional tools.
- In any case, the combined use of SKOS and automatic term extraction result in positive outcomes:
 - Identification of terms defined in KOS that are used in the documents.
 - Automatically assignment of terms from KOS, with no effort from staff.
 - Using KOS opens the possibility of implementing better "browsing capabilities" on repository contents, even if this content has not been indexed before using that vocabulary.

Conclusions

- But most of the benefits can be obtained when thinking on integration / aggregation of metadata.
- Once thesaurus descriptors are assigned to the existing documents:
 - Establish cross-searching methods to enhance search through repositories (index each site separately).
 - Enrich aggregated ítems' descriptions with descriptors coming from KOS, improving content classification at aggregators' sites (index aggregated metadata).
- In any case, the semantic integration of content and metadata from different sources can be improved by the use of common indexing languages.

Questions.

• Thanks!