## Automating Metadata Extraction and Cataloguing: Experiences from the National Libraries of Norway and Finland

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> SWIB 26 November 2024





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No

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### box

## Legal deposit at the National Library of Norway

1000s of PDF files delivered with no metadata...

Need to be catalogued manually

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## Focus on grey material: public reports



### Kostnader for bruk med ulikt driftsomfang En analyse av kostnader per dyr/dekar for bruk med ulik størrelse



Håvard Rønningen Graarud og Anders Halland Divisjon for kart og statistikk/ Avdeling for driftsøkonomisk analyse

### TITTEL/TITLE

Kostnader for bruk med ulikt driftsomfang. En analyse av kostnader per dyr/dekar for bruk med ulik størrelse

### FORFATTER(E)/AUTHOR(S)

Håvard Rønningen Graarud, Anders Halland

DATO/DATE:	RAPPORT NR./ REPORT NO.:	TILGJENGELIGHET/AVAILABIL	Y: PROSJEKT NR./PROJECT NO.:	SAKSNR./ARCHIVE NO	
07.03.2023	9/41/2023	Åpen	53183	21/00836	
ISBN: ISSN:		ANTALL SIDER/ NO. OF PAGES:	ANTALL VEDLEGG/ NO. OF APPENDICES:		
978-82-17-0	3259-5	2464-1162	40	1	
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OPPDRAGSGIVER/EMPLOYER: Landbruks og matdepartementet			kontaktperson/contact person: Siri Voll Dombu		
STIKKORD/KEYWORDS:			FAGOMRÅDE/FIELD OF WORK:		
Kostnader, stordriftsfordeler, strukturkostnader, skalafordeler, landbruksøkonomi, regnskapsdata, kornproduksjon, melkeproduksjon, sauedrift, frukt -og bær			Landbruksøkonomi		
Economies of scale, agricultural economics, accounting data, cost structure, cereal production, dairy production			Agricultural economics		

### SAMMENDRAG/SUMMARY:

Landbruks- og matdepartementet har bedt NIBIO belyse hvordan kostnader varierer mellom bruk med ulikt driftsomfang og mellom bruk med samme driftsomfang. Driftsgranskinger i jord- og skogbruk er et representativt utvalg på omtren 920 bruk og er datagrunnlag for analysen i utredningen. NIBIO legger fram resultatene i form av figurer og tabeller, samt en kortere drøfting av dise. Metoden som e brukt, er punkt-diagrammer som viser kostnader per dekar/dyr og tabeller med gjennomsnittstall.

Fullstendig sammendrag i rapporten.

LAND/COUNTRY: Norge/ Norway Fylke/county: Kommune/municipality: std/jokalitet:

## Focus on grey material: public reports

Hypothesis:

using a PDF text layer (content, position, font and font size),

and a set of rules (regular expressions, keywords, heuristics),

we can automatically extract enough metadata for simple cataloguing

## METEOR

### https://github.com/NationalLibraryOfNorway/meteor

**Open source - Apache License** 

Developed by the Text team at NLN (January 2023 - )

Written in Python with PyMuPDF

Usable as a module as well as REST API (FastAPI)

### METEOR

	Browse No file selected.		
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YNGVE REKDAL OG MICHAEL ANGELOFF Divisjon for kart og statistikk

Filename: NIBIO\_RAPPORT\_2020\_6\_56.pdf

Field	Value	Origin	Registry
year	2020	{'type': 'PDFINFO', 'pageNumber': 1}	
language	nno	{'type': 'LANGUAGE_MODEL'}	
title	Kjøtfe på utmarksbeite	{'type': 'FRONT_PAGE'}	
publisher	NIBIO	{'type': 'RAPPORT_PREFIX', 'pageNumber': 1}	Norsk institutt for bioøkonomi
publicationType			
author	Firstname: Yngve Lastname: Rekdal	{'type': 'INFO_PAGE', 'pageNumber': 2}	
author	Firstname: Michael Lastname: Angeloff	{'type': 'INFO_PAGE', 'pageNumber': 2}	
isbn	9788217025597	{'type': 'PAGE', 'pageNumber': 2}	
issn	2464-1162	{'type': 'PAGE', 'pageNumber': 2}	

## Integration to existing pipeline

After development and test phase, *METEOR* was integrated to cataloguing tool as a suggestion provider.

- very fast response time
- *explainability:* suggestions are highlighted, and a user can see their origin.

Suggestions are saved for evaluation.

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## **METEOR** evaluation

n = 1839 reports

- ISBN: 82.7 %
- ISSN: 85.8 %
- Publication year: 86.4 %
- Language: 95 % (models from GiellaLT, The Arctic University of Norway, Tromsø)
- Authors (list of firstname, lastname): 51.4 %
  - → at least one correct name in list: 69.2 %
- Title: 47 %
  - → allowing casing difference: 62.3 %
  - $\rightarrow$  allowing casing difference and prefix/suffix: 82.9 %



## **METEOR:** limitations and future plans

PDFs can contain:

- text in images
- noise in text layer



- Threshold for full automation? or "What is good enough?"
- Integration with catalogues and authority registries (ex: obtain a publisher's name from an ISSN). Higher accuracy, but loss of generality.

## Metadata extraction at the National Library of Finland

- we provide repository services (DSpace installations) to many organisations
- many material types:
  - reports (by government organisations, research institutes, universities...)
  - theses (doctoral, master, bachelor, other...)
  - articles (preprints, postprints...)
  - books and book chapters
- we tested tools including GROBID and Meteor, but results were not very good
- LLM based approach seems to work much better! But needs curated data...

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## FinGreyLit data set

- PDFs and curated metadata from nine different DSpace repositories
  - academic libraries and government institutions
- ca. 800 documents (75% train, 25% test subsets)
- Dublin Core style metadata, ca. 10 fields actively curated + 20 more
- Finnish, Swedish, English language documents + some Northern Sámi
- CC0 license, available on GitHub, still work in progress <u>https://github.com/NatLibFi/FinGreyLit</u>

## Predict metadata based on document text layer



title: Novel methods for earth fault passage indication in non-effectively grounded electricity distribution networks

creator: Farughian, Amir

publisher: University of Vaasa

**date:** 2022

e-issn: 2323-9123

p-issn: 0355-2667

0

e-isbn: 978-952-395-055-9

**p-isbn:** 978-952-395-054-2



## **Fine-tuned LLMs**

- testing small language models/families, e.g.
   Mistral, Llama, Gemma, StableLM, Qwen2...
- fine-tuned and published selected models to Hugging Face Hub:
  - <u>NatLibFi/Nous-Hermes-2-Mistral-7B-DPO-FinGreyLit</u> for "production" usage
  - <u>NatLibFi/Owen2-0.5B-Instruct-FinGreyLit-GGUF</u> for local CPU-only testing and development

## Fine-tuning & Meteor+LLM integration



NatLibFi is using the <u>University of Helsinki High Performance Computing (HPC) environment</u> (computing cluster with modern GPUs for UH researchers)

## Meteor+LLM demo!

Method (Finder heuristics or LLM) can be chosen

Prototype running on University of Helsinki/NLF container platform

Mistral-7B based LLM on Ilama.cpp, V100 GPU

Example document: https://www.fafo.no/images/pub/20905.pdf



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or drop a PDF Ne	LLMExtractor

### API-dokumentasjon

Swagger API-dokumentasjon

Filename: https://www.doria.fi/bitstream/handle/10024/189909/wang\_qingbo.pdf?sequence=1&isAllowed=y

Field	Value	Origin	Registry
year	2024	{'type': 'LLM'}	
language	eng	{'type': 'LLM'}	
title	Wood-Derived Functional Biomaterials towards 3D Bioprinting	{'type': 'LLM'}	
publisher	Åbo Akademi University	{'type': 'LLM'}	
publicationType			
author	Firstname: Qingbo Lastname: Wang	{'type': 'LLM'}	
isbn	9789521244032	{'type': 'LLM'}	
issn			

## LLMs can follow (some) cataloguing conventions

On the title page:

Tiina Kähkönen

EMPLOYEE TRUST REPAIR IN THE CONTEXT OF ORGANIZATIONAL CHANGE – IDENTIFICATION AND MEASUREMENT OF ACTIVE TRUST REPAIR PRACTICES



## Evaluation: How well does metadata extraction work?

					FGL-train
Train set	-	-	FGL-train	FGL-train	+ NR
Eval set	NR	FGL-test	FGL-test	FGL-test	FGL-test
				Mistral	Mistral
			Qwen2	Nemo	Nemo
	Meteor	Meteor	0.5B	12B	12B
language	98%	97%	98%	99%	100%
title	42%	40%	73%	90%	91%
alt_title	-	-	73%	80%	83%
creator	67%	65%	72%	89%	91%
publisher	43%	8%	69%	85%	86%
year	85%	72%	87%	91%	90%
e-isbn	90%	83%	90%	94%	95%
e-issn	92%	86%	95%	93%	95%
p-isbn	-	-	89%	92%	92%
p-issn	-	-	92%	95%	95%
doi	-	-	97%	98%	98%
type_coar	-	-	85%	88%	90%
	76%	63%	85%	91%	92%

NR: NorRep data set (130 reports from Norwegian public institutions)

FGL: FinGreyLit data set (train: 619 docs; test: 179 docs)

**Meteor**: original Finder heuristics of Meteor evaluated on either NorRep or FinGreyLit  $\rightarrow$  results for NorRep much better than for FGL

**Qwen2 0.5B**: small LM, 500 million parameters, CPU  $\rightarrow$  good for testing and development on laptops

Mistral Nemo 12B: larger LM, 12B parameters, GPU

 $\rightarrow$  current best FGL results

 $\rightarrow$  adding NR to train set increases quality a little!

## Lessons learned, so far

- 1. Multilingual models (Mistral, StableLM...) are surprisingly good even for Finnish and Swedish languages they don't officially support.
- 2. The fine-tuning approach allows data-driven expansion: extracting one more piece of information (e.g. DOI) just requires collecting and curating training data, but very little extra code.
- 3. It's possible to extract metadata using small-ish LMs (~10B) with reasonable speed (3-5 seconds / document) on locally hosted infrastructure.
- 4. Ecosystem of LLMs, fine-tunes, tools etc. moving extremely fast; today's state of the art may be obsolete next month!

## Future work and collaboration

- 1. Expanding the data set used for training; pooling our data sets; fine-tuning and evaluating newer, more capable LLMs
- 2. Indicating the origin (e.g. page number) of found information in the LLM approach requires more detailed ground truth / training data
- 3. Working towards a stable enough LLM to be used in production settings
- 4. Refining evaluation metrics: what is a "correct enough" answer for real use cases?
- 5. Better extraction of information from PDFs, including text in images
- 6. Resolving names (person, organization...) to identifiers
  - a. Meteor supports a simple SQL authority database with name to ID mapping
  - b. Using the W3C Reconciliation API to find matching identifiers?

# Thank you!

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